

PTO/SB/30 (08-03)

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
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Alexandria, VA 22313-1450

Application Number	09/940,716
Filing Date	August 29, 2001
First Named Inventor	I. Muraguchi, et al.
Art Unit	2872
Examiner Name	R. Shafer
Attorney Docket Number	02410250AA

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO ) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☒ Other **APPENDIX A**

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. **50-2041**

i. ☐ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☒ Other **Deficiencies**

b. ☒ Check in the amount of \$ **790.00** enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Clyde R Christofferson	Registration No.: (Attorney / Agent)	34,138
Signature		Date	February 7, 2005

## CERTIFICATE OF MAILING OR TRANSMISSION

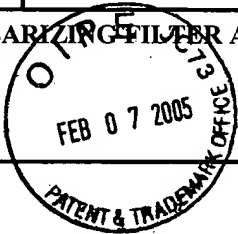
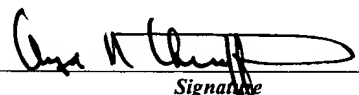
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

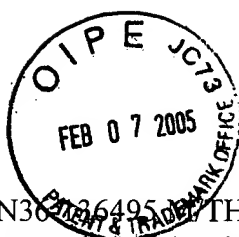
Name (Print / Type)	HAND DELIVERED
Signature	Date

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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02/09/2005 MBEYEN1 00000107 09940716  
790.00 DP  
01 FC:1801

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>					Docket No. <b>02410250AA</b>	
Applicant(s): <b>I. Muraguchi, et al.</b>						
Application No. <b>09/940,716</b>	Filing Date <b>August 29, 2001</b>	Examiner <b>R. Shafer</b>	Customer No. <b>30743</b>	Group Art Unit <b>2872</b>	Confirmation No. <b>5755</b>	
Invention: <b>POLARIZING FILTER AND OPTICAL DEVICE USING THE SAME</b>						
						
<b>COMMISSIONER FOR PATENTS:</b>						
Transmitted herewith is an amendment in the above-identified application.						
The fee has been calculated and is transmitted as shown below.						
<b>CLAIMS AS AMENDED</b>						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	11 -	22 =	0	x \$50.00	\$0.00	
INDEP. CLAIMS	1 -	4 =	0	x \$200.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>	
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>50-2041</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038.						
<b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>						
 Clyde R Christofferson Reg No. 34,138 Whitham, Curtis & Christofferson, PC 11491 Sunset Hills Road, Suite 340 Reston, Virginia 20190 703-787-9400			Dated: <b>February 7, 2005</b>			
CC:			I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ <div style="text-align: center;">(Date)</div> <div style="text-align: center; border-top: 1px solid black; margin-top: 10px;">Signature of Person Mailing Correspondence</div> <div style="text-align: center; border-top: 1px solid black; margin-top: 10px;"><b>HAND DELIVERED</b></div> <div style="text-align: center; border-top: 1px solid black; margin-top: 10px;">Typed or Printed Name of Person Mailing Correspondence</div>			



-1-

N362036495 TH

**AMENDMENT WITH RCE**

02410250aa

Amendment dated 02/07/2005

Reply to office action mailed 11/05/2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

I. Muraguchi et al.

Serial No. 09/940,716

Group Art Unit 2872

Filed August 29, 2001

Examiner R. Shafer

For POLARIZING FILTER AND OPTICAL DEVICE USING THE SAME

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT WITH RCE UNDER 37 C.F.R. §1.114

Sir:

In response to a final Office Action mailed 11/05/2004, and further in response to an Advisory Action mailed 02/03/2005 in response to an amendment after final submitted on 01/24/2005, please amend the above-identified patent application as follows:

**Amendments to the Claims** are indicated by the notation "currently amended" in the listing of claims beginning on page 2 of this paper.

**Remarks** begin on page 6 of this paper.